- 1. Name of Listed Entity: APL Apollo Tubes Limited
- Scrip Code/Name of Scrip/Class of Security: APLAPOLLO
 Share Holding Pattern Filed under: 31b
- 4. Share Holding Pattern as on: 30-Sep-2019
- 5. **Declaration**: The Listed entity is required to submit the following declaration to the extent of submission of information:-

S. No.	Particulars	Yes/No	
1	Whether the Listed Entity has issued any partly paid up shares?	No	
2	Whether the Listed Entity has issued any Convertible Securities?	No	
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No	
4	Whether the Listed Entity has any shares in locked-in?	Yes	Promoter and Promoter Group
5	Whether any shares held by promoters are pledge or otherwise encumbered?	No	
6	Whether the Listed Entity has issued any differential Voting Rights?	No	
7	Whether the Listed Entity has issued any Warrants?	Yes	Promoter and Promoter Group
8	Whether the listed entity has any significant beneficial owner?	Yes	

Table I - Summary Statement holding of specified securities

Catego ry (I)	Category of shareholder (II)	Nos. of shareh olders	No. of fully paid up equity	No. of Partly paid-up	No. of shares underlying	Total nos. shares	Shareholding as a % of total no. of shares		nber of V eld in eac secu			No. of Shares Underlying	Shareholding, as a % assuming full conversion	Lock	ber of ed in res	SI	nber of hares liged or	Number of equity shares held
()	()	(III)	shares	equity	Depository	held	(calculated as		(L			Outstanding	of convertible			oth	erwise	in
			held	shares	Receipts	(VII) =	per SCRR,					convertible	securities (as a	<u>(X</u>	<u>II)</u>		mbered	dematerializ
			(IV)	held (V)	(VI)	(IV)+(V)+ (VI)	1957) (VIII)					securities (including	percentage of diluted share			(-	XIII)	ed form
				(-)		()	As a % of	No	of Votin	ıg	Total	Warrants)	capital)	No.	Asa	No.	As a	(XIV)
							(A+B+C2)		Rights		as a	(X)		(a)	% of	(a)	% of	
								Clas	Clas	Tot	% of		(XI)=(VII)+(X)		total		total	
								S	S	al	(A+B+ C)		As a % of		Shar es		Share s held	
								eg: X	eg:y		0)		(A+B+C2)		held		(b)	
								,,							(b)		()	

A	Promoter &	2	9015187	0	0	901518	37.18	9015	0	901	37.18	500000	38.44	84251	93.46	9015187
	Promoter					7		187		518				87		
	Group									7						
В	Public	23524	1523519	0	0	152351	62.82	1523	0	152	62.82	0	61.56		0	15235192
			4			94		5194		351						
										94						
С	Non	0	0	0	0	0		0	0	0	0	0		0	0	0
	Promoter-															
	Non Public															
C1	Shares	0	0	0	0	0		0	0	0	0	0			0	0
	underlying															
	DRs															
C2	Shares held	0	0	0	0	0	0	0	0	0	0	0	0		0	0
	by															
	Employee															
	Trusts															
	Total	23526	2425038	0	0	242503	100	2425	0	242	100	500000	100	84251	34.74	24250379
			1			81		0381		503				87		
										81						

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name	PAN	No. of	No. of	Partly	Nos. of	Total	Sharehol	Numb	er of Votir	ng Righ	ts held in	No. of	Shareholdin	Num	ber of	Nun	nber of	Number of
	of the	(II)	shareh	fully	paid-up	shares	nos.	ding %		ach class	of secu		Shares	g, as a %		ked in		nares	equity
	Shareholders (I)		older (III)	paid up	equity shares	underlying Depository	shares held	calculate d as per		(4	IX)		Underlying Outstanding	assuming full		ares XII)		ged or erwise	shares held in
	(1)		(111)	equity	held	Receipts	(VII =	SCRR,	-				convertible	conversion	. (2	NII)		mbered	dematerializ
				share	(V)	(VI)	IV+V+VI)	1957					securities	of			(XIII)	ed form
				s held (IV)	4			As a % of	No of	Voting Ri	ghts	Total as a % of	(including Warrants)	convertible securities (No. (a)	As a % of	No. (a)	As a % of	(XIV)
				(10)				(A+B+C2)	-			Total	(X)	as a	(a)	total	(a)	total	
								(VIII)	Class	Class	Tot	Voting		percentage		Shar		share	
									X	Υ	al	rights		of diluted share	_	es held		s held (b)	
														capital)		(b)		(2)	
														(XI) = (VII)+(X) as a					
														% of					
														A+B+C2					
1	Indian		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
a	Individuals/Hi		1	59000	0	0	590000	2 43	59000	0	590	2.43	0	2.38	0	0			590000
a	ndu undivided		1	0			570000	2.73	0	O	000	2.43	O	2.50	O				370000
				U					U		UUU								
	Family																		
	Ashok Kumar	AAC	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Gupta	PG19																	
	1 1	51E																	
		AAG	1	59000	0	0	590000	2.42	59000	0	500	2.43	0	2.38	0	0			590000
	1		1	59000	U	U	390000	2.43	39000	U		2.43	U	2.30	U	U			390000
		PG37		O					O		000								
		69C																	
b	Central		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Government/																		
	State																		
	Government(s																		
	Government(8																		
)		0		0			0		0	0	0			0	0			0
С	Financial		U	υ	υ	U	0	0	0	U	0	U	0	U	0	0			0
	Institutions/																		
	Banks																		
d	Any Other		1	84251	0	0	842518	34.74	84251	0	842	34.74	500000	36.06	8425	100			8425187
	(specify)			87			7		87		518				187				
											7								
	Bodies		1	84251	0	0	842518	34.74	84251	0	842	34.74	500000	36.06	8425	100			8425187

	Corporate			87			7		87		518				187		
	APL INFRASTRU CTURE PRIVATE LIMITED	AAD CB00 69J	1	84251 87	0	0	842518 7	34.74	84251 87	0	7 842 518 7	34.74	500000	36.06	8425 187	100	8425187
	Sub-Total (A)(1)		2	90151 87	0	0	901518 7	37.18	90151 87	0	901 518 7	37.18	500000	38.44	8425 187	93.4 6	9015187
2	Foreign		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
a	Individuals (Non- Resident Individuals/ Foreign Individuals)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
b	Government		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
c	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
d	Foreign Portfolio Investor		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
e	Any Other (specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Sub-Total (A)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		2	90151 87	0	0	901518 7	37.18	90151 87	0	901 518 7	37.18	500000	38.44	8425 187	93.4 6	9015187

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareh older (III)	No. of fully paid up equity share	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held <u>VII =</u> <u>IV+V+V</u> I	Sharehol ding % calculate d as per SCRR, 1957		ach class		nts held in Irities	No. of Shares Underlying Outstanding convertible securities	Total shareholdin g, as a % assuming full conversion	Lock sha	ber of red in ares (II)	Si pled oth encu	nber of hares lged or erwise mbered XIII)	Number of equity shares held in dematerializ ed form
				s held (IV)				As a % of (A+B+C2) VIII	No of	Voting R	ights	Total as a % of Total	(including Warrants) (X)	of convertible securities	No. (a)	As a % of total	No. (No t	As a % of total	(XIV)
									Class X	Class Y	Tot al	Voting rights		(as a percentage of diluted share capital) (XI)		Shar es held (b)	ap pli ca ble) (a)	share s held (Not applic able) (b)	
1	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	-	0			0
a	Mutual		8	27882	0	0	278824	11.5	27882	0		11.5	0	11.27		0			2788242
	Funds/			42			2		42		824 2								
	DSP SMALL	AAAJ	1	10033	0	0	100332	4.14	10033	0	100	4.14	0	4.05		0			1003322
	CAP FUND	D043 0B		22			2		22		332 2								
	IDFC MULTI	AAE	1	43370	0	0	433702	1.79	43370	0	433	1.79	0	1.75		0			433702
	CAP FUND	TS95 56K		2					2		702								
	KOTAK	AAA	1	56848	0	0	568484	2.34	56848	0		2.34	0	2.3		0			568484
		TK44		4					4		484								
	EQUITY SCHEME	75F																	
b	Venture Capital Funds		0	0	0	0	0	0	0	0	0	0	0	0		0			0
c	Alternate		3	36900	0	0	369006	1.52	36900	0		1.52	0	1.49		0			369006
	Investment			6					6		006								
	Funds			0	0				0	0	0	0	0	0		0			0
d	Foreign		U	0	0	0	0	0	0	0	0	U	0	0		0			0
	Venture Capital																		
	Investors																		
e	Foreign		0	0	0	0	0	0	0	0	0	0	0	0		0			0
	Portfolio				-				Ĭ										
	Investors																		
f	Financial		3	32018	0	0	32018	0.13	32018	0		0.13	0	0.13		0			32018
	Institutions/						1				18								

	Banks																
g	Insurance Companies		0	0	0	0	0	0	0	0	0	0	0	0		0	0
h	Provident Funds/ Pension Funds		4	41060 0	0	0	410600	1.69	41060 0	0	410 600	1.69	0	1.66		0	410600
	PRUDENTIA	1P	1	33579 7	0	0	335797	1.38	33579 7	0	335 797	1.38	0	1.36		0	335797
i	Any Other (specify)		0	0	0	0	0	0	0	0	0	0	0	0		0	0
	Sub-Total (B)(1)		18	35998 66	0	0	359986 6	14.84	35998 66	0	359 986 6	14.84	0	14.54	0	0	3599866
2	Central Government/ State Government(s)/ President of India	3	0	0	0	0	0	0	0	0	0	0	0	0		0	0
	Sub-Total (B)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
3	Non- institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
a	Individuals -		19995	32219 91	0	0	322199 1	13.29	32219 91	0	322 199 1	13.29	0	13.02	0	0	3221989
i	Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		19988	28367 79	0	0	283677 9	11.7	28367 79	0	283 677 9	11.7	O	11.46		0	2836777

ii	Individual shareholders holding nominal share capital in excess of Rs.		7	38521	0	0	385212	1.59	38521	0	385 212	1.59	0	1.56	0	385212
b	2 lakhs. NBFCs registered with RBI		0	0	0	0	0	0	0	0	0	0	0	0	0	0
c	Employee Trusts		2	1668	0	0	1668	0.01	1668	0	166 8	0.01	0	0.01	0	1668
d	Overseas Depositories (holding DRs) (balancing figure)		0	0	0	0	0	0	0	0	_	0	0	0	0	0
e	Any Other (specify)		3509	84116 69	0	0	841166 9	34.69	84116 69	0	841 166 9	34.69	0	33.99	0	8411669
	Bodies Corporate		611	97571 0	0	0	975710	4.02	97571 0	0	975 710	4.02	0	3.94	0	975710
	Clearing Members		22	29112	0	0	29112	0.12	29112	0		0.12	0	0.12	0	29112
	Foreign Nationals		1	18513	0	0	18513	0.08	18513	0		0.08	0	0.07	0	18513
	HUF		474	17879 1	0	0	178791	0.74	17879 1	0	178 791	0.74	0	0.72	0	178791
	Non-Resident Indian (NRI)		2335	12286 36	0	0	122863 6	5.07	12286 36	0	122 863 6	5.07	0	4.96	0	1228636
	MAHENDRA	AAE PS40 95B	1	55850 0	0	0	558500	2.3	55850 0	0	558 500	2.3	0	2.26	0	558500
	Overseas Corporate Bodies		57	59529 35	0	0	595293 5	24.55	59529 35	0	595 293 5	24.55	0	24.05	0	5952935

MOBIUS	AAM		28499 0	0		284992 1.18	28499			1.18	0	1.15		0	284992
INVESTMEN			2				2	9	992						
T TRUST	23R														
PLC															
KITARA	AAE	1	30000 0	0	(300000 12.3′	7 30000	0 3	300	12.37	0	12.12		0	3000000
PIIN 1001	CK34		00		()	00	0	000						
	82F							0)						
GOLDMAN	AAD	1	81215 0	0	8	312153 3.35	81215	0 8	312	3.35	0	3.28		0	812153
SACHS	CG02		3				3	1	153						
INDIA	60C														
LIMITED															
KITARA	AAE	1	852000	0	8	352000 3.51	85200	0 8	352	3.51	0	3.44		0	852000
PIIN 1101	CK43		0				0	0	000						
	62Q														
Trusts		9	27972 0	0	2	27972 0.12	27972	0 2	279	0.12	0	0.11		0	27972
								7	72						
Sub-Total		23506	11635 0	0	1	116353 47.98	8 11635	0 1	16	47.98	0	47.01	0	0	11635326
(B)(3)			328			28	328		353						
									28						
Total Public		23524	15235 0	0	1	152351 62.82	2 15235			62.82	0	61.56	0	0	15235192
Shareholding			194			94	194		351						
(B)=									94						
(B)(1)+(B)(2)															
+(B)(3)															
1. (15)(3)															

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name	PAN	No. of	No. of	Partly	Nos. of	Total no.	Sharehol	Numb	er of Votin	g Righ	ts held in	No. of	Total	Num	ber of	Nun	ber of	Number of
	of the	(II)	shareh	fully	paid-up	shares	shares	ding %	ea	ach class o		rities	Shares	shareholdin		ked in		nares	equity
	Shareholders		older	paid	equity	underlying	held	calculate	<u> </u>	(I	X)		Underlying	g, as a %		ares		ged or	shares held
	(1)		(III)	up equity	shares held	Depository Receipts	(VII = IV+V+VI)	d as per SCRR,	1				Outstanding convertible	assuming full	ρ	XII)		erwise mbered	in dematerializ
				share	(V)	(VI)	14 + 4 + 4 1 1	1957	1				securities	conversion	1			XIII)	ed form
				s held] ' '	, ,		As a % of	No of	Voting Rig	ghts	Total as	(including	of	No.	As a	No.	Asa	(XIV)
				(IV)				(A+B+C2)	_			a % of	Warrants)	convertible	1	% of	(No	% of	(Not
								(VIII)		1		Total Voting	(X)	securities (as a	1	total Shar	t ap	total share	Applicable)
									Class X	Class Y	Tot al	rights		percentage	1	es	pli	s held	
									^	,	aı	_		of diluted]	held	ca	(Not	
														share	1		ble	applic able)	
														capital) (XI)	1		,	abie)	
1	Custodian/DR		0	0	0	0	0		0	0	0	0	0			0			0
	Holder																		
2	Employee		0	0	0	0	0	0	0	0	0	0	0	0		0			0
	Benefit Trust																		
	(under SEBI																		
	(Share based																		
	Employee																		
	Benefit)																		
	Regulations,																		
	2014)																		
	Total Non-		0	0	0	0	00		0	0	0	0	0		0	0			0
	Promoter-																		
	Non Public																		
	Shareholding																		
	(C)=																		
	(C)(1)+(C)(2)																		

Table V - Statement showing shareholding pattern of the Significant Beneficiary Owner (SBO)

Name	PAN	Passport No. in case of a foreign	Nationality	Details of holdi	ng/ exercise of right of the SI	BO in the reporting company	, whether direct or in	direct*	Date of creation / acquisition of
		national		Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	significant beneficial interest

1	Sanjay Gupta	ALQPG667	India	34.74	34.74	34.74			
		6F							
A	APL	AADCB006	India	34.74	34.74	34.74	No	No	01-Nov-2016
	Infrastructure	9J							
	Private								
	Limited								

Table II- Unclaim Details

	Details of Shares which remain unclaimed may be given here along with details such as number of shareholders,				
	outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.				
No. of shareholders		No of share held			

Table III- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.				

Table III- Person in Concert

Details of the shareholders acting as persons in Concert including their Shareholding				
Name of shareholder	Name of PAC	No. of share	Holding %	